



# NBS TECHNICAL NOTE 660

U.S. DEPARTMENT OF COMMERCE / National Bureau of Standards

## Molecular Beam Tube Frequency Biases Due To Distributed Cavity Phase Variations

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# Molecular Beam Tube Frequency Biases Due To Distributed Cavity Phase Variations

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<sup>t.</sup> Technical note no. 660



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NATIONAL BUREAU OF STANDARDS, Richard W. Roberts, Director

Issued January 1975

Library of Congress Catalog Card Number: 74-600205

National Bureau of Standards Technical Note 660

Nat. Bur. Stand. (U.S.), Tech Note 660, 43 pages (Jan. 1975)

CODEN: NBTNAE

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## MOLECULAR BEAM TUBE FREQUENCY BIASES DUE TO DISTRIBUTED CAVITY PHASE VARIATIONS

For atomic beam frequency standards, an analysis is described for estimating the frequency bias due to distributed cavity phase difference over finite beam widths, and for estimating the resulting inaccuracy in power shift and beam reversal experiments. Calculated atomic trajectories and simplified rf-field distributions are used, as well as certain assumptions about beam tube alignment. The results are applied to one of the present NBS primary time & frequency standards and a shorter tube geometry.

One conclusion is that beam reversal experiments are not necessarily much more accurate than power shift experiments and that the use of both methods (plus the use of pulse techniques) is desirable.

Key words: Accuracy evaluation; Atomic beam frequency standards; Cavity phase shift.

## I. INTRODUCTION

The primary sources of error in state-of-the-art Ramsey-type cesium beam frequency standards, which are approaching a part in  $10^{13}$  accuracy, are the second-order Doppler shift (DS2) and the effect (PD) of a phase lead  $\delta$  of the rf-field in the second cavity over the first.

An experimental method, based on the pulse technique [1,2,3] and theoretical methods using measured Ramsey resonance curves at different power levels [4,5], have been reported which predict the relevant velocity distribution  $\rho(V)$  of detected atoms. From  $\rho(V)$ , it is an easy matter to compute pseudo-velocities  $V_D(b, \nu_{MOD})$  and  $V_P(b, \nu_{MOD})$  coefficients depending on the power parameter  $b$  and the modulation width for line-center servoing from which the biases due to DS2 and PD are:

$$v_{DS2} = -\Omega V_D^2(b, \nu_{MOD}), \quad \left(\Omega = \frac{\nu_{ces}}{2c^2}\right)$$

$$v_{PD} = -\frac{\delta}{2\pi L} V_P(b, \nu_{MOD}),$$

where  $\nu_{ces}$  is the cesium transition frequency,  $c$  the speed of light,  $L$  is the cavity separation and  $l$  the width of each cavity. Since  $V_P/2\pi L$  is typically about 10 Hz/radian, a milliradian phase difference  $\delta$  generates a bias  $v_{PD}$  of  $10^{-12} \nu_{ces}$ . Even with careful cavity adjustment before assembly, control of  $\delta$  to levels significantly lower than a milliradian is not at present practical, so that the value of  $\delta$  obtained in the beam machine at any particular time must be inferred by other means. Two techniques are currently employed. In the first, the beam tube is designed to permit both forward and reversed beam operation. For forward operation, the bias is

$$v_B^F = v_{DS2}^F - \frac{\delta}{2\pi L} V_P^F + h^F,$$

where  $h^F$  is other sources of bias. For reversed operation, the bias is

$$v_B^R = v_{DS2}^R + \frac{\delta}{2\pi L} V_P^R + h^R.$$

(We must anticipate that  $(V_D, V_P)$  result from different velocity distributions in the two operating modes). The difference

$$\Delta_{FR} \equiv V_B^F - V_B^R$$

is found by comparison with a very stable source (its accuracy is irrelevant), and thus

$$\frac{\mathcal{S}}{2\pi L} = - \frac{\Delta_{FR} - (V_{DS2}^F - V_{DS2}^R) - (h^F - h^R)}{V_P^F + V_P^R}$$

from which  $v_{PD}$  is easily computed for each mode. For example,

$$V_B^F = \mathcal{L} (\Delta_{FR} + V_{DS2}^R + h^R) + (1 - \mathcal{L})(V_{DS2}^F + h^F),$$

where

$$\mathcal{L} \equiv V_P^F / (V_P^F + V_P^R) \approx \frac{1}{2}.$$

This bias estimate is limited in accuracy by the measurement  $\Delta_{FR}/2$ , the average DS2 bias, and the (yet) unknown bias:

$$\frac{1}{2} (h^R + h^F)$$

In the second technique, two power levels are used,  $(b^1, b^2)$ .

$$V_B^i = V_{DS2}^i - \frac{\mathcal{S}}{2\pi L} V_P^i + h^i, \quad (i = 1, 2).$$

(The velocity distributions may be slightly different for these cases; this must be considered in the calculation of the  $(V_D, V_P^i)$ , or the two-velocity distribution approach used) [2]. Again, measuring:

$$\Delta_{12} \equiv V_B' - V_B^2,$$

we find:

$$\frac{f}{2\pi L} = - \frac{\Delta_{12} - (v_{DS2}' - v_{DS2}^2) - (h' - h^2)}{V_p' - V_p^2}.$$

Then:

$$V_B' = \alpha (\Delta_{12} + v_{DS2}^2 + h^2) + (1-\alpha) (v_{DS2}' + h'),$$

where:

$$\alpha = V_p' / (V_p' - V_p^2).$$

With care in the choice of power levels and sufficiently wide velocity distributions  $|\alpha|$  may be as small as about 3, but in any case, it will amplify the errors in  $\Delta_{12}$ ,  $v_{DS2}$ , and  $h^2$ , by this factor. This method has the advantage of being applicable to any beam machine and of generating redundancy (for three or more power levels), but suffers from the amplification factor  $\alpha$ . A combination of both methods (plus use of the pulse techniques) will be the best approach towards a comprehensive accuracy evaluation.

Our purpose in this paper is to estimate the amplification factor  $\alpha$ , and the (PV) bias  $h$  ( $b, v_{MOD}$ ), due to non-uniformity (phase variations) of the rf-field in the portions of the cavities traversed by the atomic beam. These effects must be expected to be the more important in accuracy evaluations the shorter the beam tube.

The estimate involves three components. In Section II, a ray-trace technique is described which is intended to model the beam tube under consideration and generates velocity distribution moments over the cavity windows. In Section III, a calculation is described which provides an estimate of the relevant rf-magnetic field in the microwave cavity with finitely conducting walls; only a simple

rectangular guide is considered. In Section IV, the atomic transition probability is derived, and the total bias computed for sinusoidal or squarewave modulation by averaging over the atomic beam.

In Section V, the application of these methods to specific systems is discussed.

## II. RAY TRACING

Referring to figure 1, let  $\rho = (Y_1, Z_1, Y_2, Z_2)$  be the parameter vector of atoms whose trajectories have coordinates  $(Y_1, Z_1)$  at the center of the first cavity,  $(Y_2, Z_2)$  at the second, where  $\rho = 0$  is assumed to be on the line of centers of the cavity apertures. We consider those atoms with velocity  $V$ , and assume all trajectories make small angles with the line of centers. Let  $\omega$  be the rf-field angular frequency, and let  $P_{pq}(\rho, V, \omega)$  be the transition probability for one of these atoms over its passage through the two cavities and the uniform C-field region between the cavities.

Consider atoms emitted either "spin up" ( $j = 1$ ) or "spin down" ( $j = 2$ ). If transition occurs, these atoms contribute to the detector signal the flux element:

$$p_j^+(V, \rho) P_{pq}(\rho, V, \omega) d\rho dV, \\ (d\rho \equiv dY_1 dZ_1 dY_2 dZ_2),$$

where  $p_j^+ = 0$  if the path fails to pass from emitter to detector, and otherwise,  $p_j^+$  is the emitter source strength, dependent on the position on the emitter face and the launch angles. If transition did not occur, the contribution to the detected flux element is:

$$p_j^-(V, \rho) (1 - P_{pq}(\rho, V, \omega)) d\rho dV,$$

where  $p_j^-$  has properties analogous to  $p_j^+$ .

Integrating over the cavity openings  $\mathcal{R}(\rho)$  and the velocity, the total flux at the detector is:

$$g_D(\omega) = \int_0^\infty dV p_E(\rho, V) \int_{\mathcal{R}(\rho)} d\rho Q^*(V, \rho) P_{pq}(\rho, V, \omega)$$

neglecting a term independent of  $\omega$ , and writing

$$p_E(\rho, V) Q^*(V, \rho) = \sum_{j=1}^2 (p_j^+ - p_j^-),$$

where  $\rho_E(p, V)$  is an emitter source strength and  $Q^*(V, \rho)$  a beam tube form function for unit source strength. (The Jacobian of the transformation from initial to final values of  $(Y, Z, \dot{Y}, \dot{Z})$  through deflecting magnets where force is independent of  $X$  is unity.) For simplicity, we may take  $\rho_E(p, V)$  to be the Maxwellian emission distribution, independent of  $\rho$ .

With the intention of expanding  $P_{pq}(V, \omega)$  to second order in  $\rho$ , the ray trace computation generates the velocity dependent moments:

$$g_n(V) = \int_{\mathcal{R}(\rho)} d\rho Q^*(V, \rho) \begin{pmatrix} 1 \\ Y_1 \\ Z_1 \\ Y_2 \\ Z_2 \\ Y_1^2 \\ Y_1 Z_1 \\ Z_1^2 \\ Y_2^2 \\ Y_2 Z_2 \\ Z_2^2 \\ Y_1 Y_2 \\ Y_1 Z_2 \\ Y_2 Z_1 \\ Y_2 Z_2 \end{pmatrix}$$

$(n = 1, 15)$

Finally, defining

$$p_n(V) \equiv g_n(V) \rho_E(V),$$

we can write:

$$g_D(\omega) = \sum_{n=1}^{15} \int_0^{\infty} dV p_n(V) P_{pq}^n(\omega, V)$$

where  $P_{pq}^n$  are the appropriate expansion coefficients of  $P_{pq}(\rho, V, \omega)$ .

The computer program generates the  $q_n(V)$  for several intervals ( $m = 1, M$ ) in the detector plane, so that the effect of detector location may be studied.

### III. THE CAVITY FIELD

The microwave structure is assumed to be a very symmetric U-shaped rectangular wave guide shorted at the ends and driven at the center. It propagates only the  $TE_{0,1}$ -mode, each arm having the ideal complex harmonic field structure

$$\mathbb{E}(\mathbf{r}) e^{i\omega t} = \cos \frac{\pi z}{c_1} \sin \gamma_c (y + y_0) e^{i\omega t} \mathbb{K},$$

where  $\mathbb{K}$  is a unit vector in the z-direction,  $\gamma_c$  is the cavity wave number and  $y_0$  an arbitrary phase chosen to make  $\mathbb{E}$  vanish at the short  $y = -y_0$ .

In beam machines, the apertures in the cavity arms which permit the atoms to pass through are usually elaborated with features which include transverse "approach sections" and horizontal (y-direction) fins to reduce field leakage in the x-direction. These complications make calculation of the exact rf field nearly impossible even in the case of perfectly conducting walls.

We have examined only the relatively simple case in which the cavity apertures are absent, and the wall conductivities are very high, dependant only on the perimeter position (independent of y). For the magnetic field-independent transition in cesium defining the atomic second, only the rf magnetic field parallel to the C-field, which we shall take in the z-direction, is important in causing transitions.

We shall solve the harmonic field equations:

$$\begin{aligned} \nabla \times \nabla \times \mathbb{E} - k^2 \mathbb{E} &= 0, \\ \mathbb{H} &= -\frac{1}{i\omega\mu_0} \nabla \times \mathbb{E}, \end{aligned}$$

subject to the wall skin depth conditions

$$\mathbf{n} \times \mathbb{E} = \frac{1}{2} \delta(\mathbf{r}_s) \mu_w \omega (1+i) \mathbb{H}_T,$$

where  $\mathbf{n}$  is the outward normal,  $\delta(\mathbf{r}_s)$  the skin depth at the wall point  $\mathbf{r}_s$  (independent of y), and  $\mathbb{H}_T$  the tangential magnetic field on the wall. The wall condition can be written

$$\mathbf{n} \times \mathbb{E} = \alpha(\mathbf{r}_s) (\nabla \times \mathbb{E})_T,$$

where:

$$\alpha(\omega_s) = \frac{i-1}{2} \delta(\omega_s),$$

where the real skin depth is

$$\begin{aligned} \delta(\omega_s) &= \frac{2t\omega}{\sigma\epsilon_0} \sqrt{\frac{2}{\omega_s \epsilon_0 \tau_{\omega}(\omega_s)}} \\ &= 2.906 (10)^{-2} \sqrt{\frac{\lambda_0}{f}} \text{ cm.} \end{aligned}$$

For  $\sigma = 5.92 \times 10^5 \text{ ohm}^{-1} \text{ cm}^{-1}$  for copper, the free space cesium transition wave length  $\lambda_0 = 3.264 \text{ cm}$ , we obtain:

$$\delta = 6.827 (10)^{-5} \text{ cm}$$

Wave guide dimensions are assumed to be  $a = 2.286 \text{ cm}$  (0.9") and  $b = 1.016 \text{ cm}$  (0.4"). (X-band).

We take the electric field to be of the form

$$\begin{aligned} E_1(\omega) &= E_1(x, z) \sin \pi y, \\ E_2(\omega) &= E_2(x, z) \cos \pi y, \\ E_3(\omega) &= E_3(x, z) \sin \pi y; \end{aligned}$$

$$\begin{aligned} E_1(x, z) &= (1 + e_1(x)) \cos \left[ \left( \frac{\pi}{a} + \epsilon \right) z + \beta \right], \\ E_2(x, z) &= e_2(x) \cos \left[ \left( \frac{\pi}{a} + \epsilon \right) z + \beta \right], \\ E_3(x, z) &= e_3(x) \sin \left[ \left( \frac{\pi}{a} + \epsilon \right) z + \beta \right], \end{aligned}$$

where the  $e_j(z)$  are assumed to have series expansions in a mean skin depth  $\bar{\delta}$ , while  $\epsilon$  and  $\beta$  are to be determined and are constants of order  $\bar{\delta}$ .

The important magnetic field component  $H_3(\omega)$  is found to within a proportionality constant from:

$$\begin{aligned} H_3(\omega) &= \sin \pi y \left\{ e_{2,x}(x) + j(1 + e_1(x)) \right\} \\ &\quad \cdot \sin \left[ \left( \frac{\pi}{a} + \epsilon \right) z + \beta \right]. \end{aligned}$$

The complex propagation constant  $\gamma = \gamma_0 - i \alpha$  is determined by the analysis.

The calculation is extremely lengthy, and requires an analysis to second order in  $\delta$  to determine all the first order quantities. It will not be reproduced here.

An end-short condition for terminal skin depth  $\delta_e$  is easily applied to the result by replacing  $\sin \delta y$  by  $\sin \delta(y + \frac{\delta_e}{2})$  and applying one of the wall conditions at  $y = -y_e$ , which determines  $\gamma_0(\delta_e)$ . A second wall condition is ignored; in fact, the skin depth distribution must be of the correct form on the end wall to be consistent with this single mode analysis.

The real and imaginary parts of  $H_3(r)$  are determined from a computer program where the primary input is constant values ( $\delta_1, \delta_2, \delta_3, \delta_4, \delta_e$ ) for the skin depths on the four walls and shorting surface. (In fact, the parametric variation of skin depth by cosine series coefficients is included, but such effects must be expected to be small; in any case, such coefficients are unknown).

Finally, coefficients of the real and imaginary parts of  $H_3(r)$  are generated for least squares best fit to the form:

$$H_3(r) = \varphi_1(y, z) + x \varphi_2(y, z) + x^2 \varphi_3(y, z),$$

$$\varphi_j(y, z) \equiv \varphi_1^j + \varphi_2^j y + \varphi_3^j z + \varphi_4^j y^2 + \varphi_5^j yz + \varphi_6^j z^2$$

about each cavity center  $r = 0$  point on the line of centers of the cavity apertures. The program also gives the expansion coefficients for the remaining field components as well.

It must be emphasized that this relatively simple calculation may not represent adequately the true field in the complicated cavity structure near the cavity apertures, but we shall be able to determine which are the critical coefficients in the field expansion.

#### IV. THE TRANSITION PROBABILITY

The quantum mechanical probability amplitudes  $C_1(t)$ ,  $C_2(t)$  for a two-state system perturbed by a uniform magnetic field in the  $z$ -direction and a parallel oscillating field may be written:

$$i \begin{bmatrix} \dot{C}_1 \\ \dot{C}_2 \end{bmatrix} = \begin{bmatrix} E_1 & H_0 \\ H_0 & E_2 \end{bmatrix} \begin{bmatrix} C_1 \\ C_2 \end{bmatrix} + 2b \begin{bmatrix} 0 & H_2 \\ H_2 & 0 \end{bmatrix} \begin{bmatrix} C_1 \\ C_2 \end{bmatrix},$$

where  $E_1$ ,  $E_2$  are the unperturbed frequencies associated with the energy states,  $H_0$  a frequency associated with the uniform field, and  $b$  a constant proportional to the oscillating field amplitude (the "power parameter"). The field  $H_2^j(\vec{r}, t)$  in each cavity  $j = 1, 2$  is related to the complex field  $H_3^R, H_3^I$  calculated in Section III by:

$$H_2^j(\vec{r}, t) = \hat{u}_j \left[ H_3^{jR}(\vec{r}) \cos(\omega t + \chi_j) + H_3^{jI}(\vec{r}) \sin(\omega t + \chi_j) \right],$$

where  $\hat{u}_j$  and  $\chi_j$  are chosen so that

$$H_2^j(\vec{r}, t) = -h_2^j(\vec{r}) \cos(\omega t + \varphi_j) - h_1^j(\vec{r}) \sin(\omega t + \varphi_j)$$

and:

$$h_1^j(0) = 1,$$

$$h_2^j(0) = 0.$$

We can readily compute from the coefficients for the field  $(H_3^{jR}(\vec{r}), H_3^{jI}(\vec{r}))$  in Section III the representation

$$h_2^j(\vec{r}) = (c_1^j + c_2^j \bar{z} + c_3^j \bar{y} + c_4^j \bar{z}^2 + c_5^j \bar{z} \bar{y} + c_6^j \bar{y}^2) + \bar{x} (c_i^j \rightarrow c_{i+6}^j) + \bar{x}^2 (c_i^j \rightarrow c_{i+12}^j),$$

in which  $c_i^j = 0$ . A similar expansion holds for  $h_1^j$ , but is not required.

We assume that the atom has a trajectory through each cavity:

$$\bar{x} = V_x s,$$

$$\bar{y} = y + V_{y,x} s,$$

$$\bar{z} = z + V_{z,x} s,$$

where, assuming the atom enters the first cavity at time  $t = 0$ ,  $s = t - \frac{L}{v}$ ,  
in the first cavity,  $s = t - (T + \frac{3}{2}\tau)$  in the second, with  $\tau = l/v$ ,  $T = L/v$   
From the representation of the field components in terms of the  $c_n^s$ , we  
can express the time-dependent functions

$$\begin{aligned} \begin{bmatrix} b R_1^j(r(t)) \\ b R_2^j(r(t)) \end{bmatrix} &= \begin{bmatrix} b \\ c \end{bmatrix} + \begin{bmatrix} \beta_{11}^j \\ \beta_{21}^j \end{bmatrix} + s \begin{bmatrix} \beta_{12}^j \\ \beta_{22}^j \end{bmatrix} + s^2 \begin{bmatrix} \beta_{13}^j \\ \beta_{23}^j \end{bmatrix} \\ &\equiv \begin{bmatrix} b \\ c \end{bmatrix} + \begin{bmatrix} \mathcal{R}_1^j(s) \\ \mathcal{R}_2^j(s) \end{bmatrix}, \end{aligned}$$

where the coefficients  $\beta_{\mu\nu}^j$  are small, associated with the cavity  
resistivity and field amplitude gradients in each cavity.

The uniform field causes the energies of the states to be  
modified. The unitary diagonalizing matrix

$$A = \begin{bmatrix} \alpha H_0 & \alpha (\omega_1 - E_1) \\ \beta (\omega_2 - E_2) & \beta H_0 \end{bmatrix}$$

where:

$$\alpha = \frac{1}{\sqrt{(\omega_1 - E_1)^2 + H_0^2}}, \quad \beta = \frac{1}{\sqrt{(\omega_2 - E_2)^2 + H_0^2}},$$

$$\omega_{2,1} \equiv \frac{1}{2}(E_1 + E_2) \pm \sqrt{\frac{1}{4}(E_2 - E_1)^2 + H_0^2},$$

generates the following system for the eigenstates:

$$D \equiv A C,$$

$$i \begin{bmatrix} \dot{D}_1 \\ \dot{D}_2 \end{bmatrix} = \begin{bmatrix} \omega_1 & 0 \\ 0 & \omega_2 \end{bmatrix} \begin{bmatrix} D_1 \\ D_2 \end{bmatrix} + 2b A \begin{bmatrix} 0 & H_2 \\ H_2 & 0 \end{bmatrix} A^T \begin{bmatrix} D_1 \\ D_2 \end{bmatrix}.$$

For the very weak C-fields employed in beam machines,  
 $H_0/(E_2 - E_1) \ll 1$ , and A is essentially the unit matrix; the only important  
effect of  $H_0$  is to replace  $(E_1, E_2)$  by  $(\omega_1, \omega_2)$ . Thus, we consider  
only the system:

$$i \begin{bmatrix} \dot{D}_1 \\ \dot{D}_2 \end{bmatrix} = \begin{bmatrix} \omega_1 & 0 \\ 0 & \omega_2 \end{bmatrix} \begin{bmatrix} D_1 \\ D_2 \end{bmatrix} + 2b \begin{bmatrix} 0 & H_2 \\ H_2 & 0 \end{bmatrix} \begin{bmatrix} D_1 \\ D_2 \end{bmatrix}.$$

Putting:

$$D_1 = e^{-i\varphi_1} F_1,$$

$$D_2 = F_2,$$

which does not effect the state probabilities, (i.e.,  $|D_1^2| = |F_1^2|$ ):

$$i \begin{bmatrix} \dot{F}_1 \\ \dot{F}_2 \end{bmatrix} = \begin{bmatrix} \omega_1 - \dot{\varphi}_1 & 0 \\ 0 & \omega_2 \end{bmatrix} \begin{bmatrix} F_1 \\ F_2 \end{bmatrix} + 2b \begin{bmatrix} 0 & H_2 e^{i\varphi_1} \\ H_2 e^{-i\varphi_1} & 0 \end{bmatrix} \begin{bmatrix} F_1 \\ F_2 \end{bmatrix}.$$

Introducing in the  $j^{\text{th}}$  cavity ( $j=1, 2$ ),

$$H_2^j(r(t)) = -\hbar_2^j \cos(\omega t + \varphi_j) - \hbar_1^j \sin(\omega t + \varphi_j),$$

and putting:

$$\varphi_1(t) = -\omega t - \varphi_2, \quad \delta_j \equiv \varphi_2 - \varphi_j;$$

$$i \begin{bmatrix} \dot{F}_1 \\ \dot{F}_2 \end{bmatrix} = \begin{bmatrix} (\omega_1 + \omega) F_1 - b(\hbar_2^j - i\hbar_1^j) e^{-i\delta_j} F_2 \\ -b(\hbar_2^j + i\hbar_1^j) e^{i\delta_j} F_1 + \omega_2 F_2 \end{bmatrix} + \mathcal{E},$$

where  $\mathcal{E}$  is composed of terms with oscillating frequency  $2\omega$ . These lead to the Bloch-Siegert Shift [ 6 ], which we shall not consider here.

We introduce the real variables:

$$U = F_1 \tilde{F}_1, \quad V = F_2 \tilde{F}_2, \quad R = F_1 \tilde{F}_2 + F_2 \tilde{F}_1, \quad S = -i(F_1 \tilde{F}_2 - F_2 \tilde{F}_1);$$

$$U + V = 1, \quad W \equiv U - V; \quad U = \frac{1}{2}(1 + W), \quad V = \frac{1}{2}(1 - W);$$

and derive the real equations

$$\dot{W} = 2bR(\hbar_1^j \cos \delta_j + \hbar_2^j \sin \delta_j) + 2bS(\hbar_2^j \cos \delta_j - \hbar_1^j \sin \delta_j),$$

$$\dot{R} = \lambda S - 2bW(\hbar_1^j \cos \delta_j + \hbar_2^j \sin \delta_j),$$

$$\dot{S} = -\lambda R - 2bW(\hbar_2^j \cos \delta_j - \hbar_1^j \sin \delta_j),$$

where

$$\lambda \equiv \omega - \omega_c, \quad \omega_c \equiv \sqrt{\omega_0^2 + 4H_0^2}, \quad \omega_0 = E_2 - E_1.$$

(The sign of  $\lambda$  is reversed from Ramsey's [ 7 ] usage). The effect of  $\delta_j \neq 0$  is merely a rotation in the (R, S) subspace. With the initial data

at  $t = 0$ :

$$|C_p^2| = U = 1, \quad V = R = S = 0,$$

so that  $W = 1$ , we can write after passage through the first cavity:

$$\begin{bmatrix} W \\ R \\ S \end{bmatrix}_T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos S & \sin S \\ 0 & -\sin S & \cos S \end{bmatrix} \mathcal{H}^1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad S \equiv S_2 - S_1,$$

where  $\mathcal{H}^1$  satisfies:

$$\begin{bmatrix} W \\ R \\ S \end{bmatrix}_T = \mathcal{H}^1 \begin{bmatrix} W \\ R \\ S \end{bmatrix}_c$$

over cavity one with  $\delta_1 = 0$ . Over the C-field region,  $b = 0$ , we have:

$$\begin{bmatrix} W \\ R \\ S \end{bmatrix}_{T+\tau} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \lambda T & \sin \lambda T \\ 0 & -\sin \lambda T & \cos \lambda T \end{bmatrix} \begin{bmatrix} W \\ R \\ S \end{bmatrix}_T.$$

Over the second cavity, we have ( $\delta_2 = 0$ ):

$$\begin{bmatrix} W \\ R \\ S \end{bmatrix}_{T+2\tau} = \mathcal{H}^2 \begin{bmatrix} W \\ R \\ S \end{bmatrix}_{T+\tau}$$

We need finally the transition probability at  $t = T + 2\tau$ :

$$P_{1\rightarrow 2} = |C_2|^2 = V(T+2\tau) = \frac{1}{2}(1 - W(T+2\tau)).$$

Then:

$$W(T+2\tau) = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \mathcal{H}^2 \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\lambda T + S) & \sin(\lambda T + S) \\ 0 & -\sin(\lambda T + S) & \cos(\lambda T + S) \end{bmatrix} \mathcal{H}^1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}.$$

The cavity equations may be written:

$$\dot{W} - 2bR = 2B_1^j R + 2B_2^j S,$$

$$\dot{R} = \lambda S + 2bW = -2B_1^j W,$$

$$\dot{S} + \lambda S = -2B_2^j W.$$

If this system is iterated once, treating the right-hand-side as a small perturbation, we can write for cavity  $j$ :

$$\mathcal{H}^j = \mathcal{H}^c + \mathcal{J}^j$$

where the matrix  $\mathcal{H}^0$  is the same for each cavity, and  $\Gamma^j$  is a small perturbation matrix. With  $a = \sqrt{\lambda^2 + 4b^2}$ ,

$$\mathcal{H}^c = \frac{1}{2a^2} \begin{pmatrix} 2(\lambda^2 + 4b^2 \cos a z) & 4ab \sin a z & 4\lambda b(1 - \cos a z) \\ -4ab \sin a z & & \\ 4\lambda b(1 - \cos a z) & & \end{pmatrix}$$

Then to the first order in  $\Gamma^j$ , the transition probability is found from:

$$\begin{aligned} 1 - 2P_{pq} &= (\mathcal{H}_{11}^c)^2 + \mathcal{H}_{11}^c (\mathcal{D}_{11}^1 + \mathcal{D}_{11}^2) \\ &+ \cos(\lambda T + S) (\mathcal{H}_{12}^c \mathcal{H}_{21}^c + \mathcal{H}_{12}^c \mathcal{D}_{21}^1 + \mathcal{H}_{21}^c \mathcal{D}_{12}^2 \\ &\quad + \mathcal{H}_{13}^c \mathcal{H}_{31}^c + \mathcal{H}_{13}^c \mathcal{D}_{31}^1 + \mathcal{H}_{31}^c \mathcal{D}_{13}^2) \\ &+ \sin(\lambda T + S) (\mathcal{H}_{12}^c \mathcal{H}_{31}^c + \mathcal{H}_{12}^c \mathcal{D}_{31}^1 + \mathcal{H}_{31}^c \mathcal{D}_{12}^2 \\ &\quad - \mathcal{H}_{13}^c \mathcal{H}_{21}^c - \mathcal{H}_{13}^c \mathcal{D}_{21}^1 - \mathcal{H}_{21}^c \mathcal{D}_{13}^2). \end{aligned}$$

Line centering by the modulation technique is modeled by putting

$$\lambda \rightarrow \lambda^* + \lambda_{MOD} p(t)$$

where  $p(t)$  is a symmetric periodic function, usually a square-wave or a sinusoidal wave,  $\lambda_{MOD}$  is a modulation amplitude and  $\lambda^*$  is a small adjustable offset.  $\lambda^*$  is varied so that the detector signal is also a symmetric periodic function as sensed by a symmetric linear filter. Assuming that  $\delta$ ,  $I$ , and  $\lambda^*$  are all small quantities, the asymmetric part of  $P_{pq}$  is as follows:

( $\lambda = \lambda_{MOD}$  here):

$$\begin{aligned} -2\tilde{P}_{pq}(\lambda) &= \mathcal{H}_{11}^c (\mathcal{D}_{11}^1 + \mathcal{D}_{11}^2) + 2\lambda^* \mathcal{H}_{11}^c \mathcal{H}_{11, \lambda}^c \\ &+ \cos \lambda T \left\{ (\lambda^* T + S) \cdot 2\mathcal{H}_{12}^c \mathcal{H}_{31}^c \right. \\ &\quad + 2\lambda^* (\mathcal{H}_{12}^c \mathcal{H}_{21, \lambda}^c + \mathcal{H}_{13}^c \mathcal{H}_{31, \lambda}^c) \\ &\quad \left. + \mathcal{H}_{12}^c (\mathcal{D}_{21}^1 - \mathcal{D}_{12}^2) + \mathcal{H}_{13}^c (\mathcal{D}_{31}^1 + \mathcal{D}_{13}^2) \right\} \end{aligned}$$

→

$$\begin{aligned}
 & + \sin \lambda T \left\{ (\lambda^* T + S) (\mathcal{H}_{1,2}^c)^2 \right. \\
 & \quad + 2 \lambda^* (\mathcal{H}_{1,2}^c \mathcal{H}_{3,1,1}^c + \mathcal{H}_{1,2,1}^c \mathcal{H}_{3,1}^c) \\
 & \quad \left. + \mathcal{H}_{1,2}^c (\mathcal{J}_{3,1}^1 + \mathcal{J}_{1,3}^2) - \mathcal{H}_{1,3}^c (\mathcal{O}_{2,1}^1 - \mathcal{O}_{1,2}^2) \right\},
 \end{aligned}$$

where (O, E) refer to antisymmetric and symmetric parts in  $\lambda_{\text{MOD}}$ , respectively.

We also assume a modulation amplitude small enough that terms in  $(\lambda_{\text{MOD}}/b)^2$  may be ignored. To this order, we calculate:

$$\mathcal{Q}^j = \begin{pmatrix} \frac{1}{b} (C_5^j - C_3^j) & -\frac{1}{b} C_{10}^j & 2 C_5^j \\ -\frac{1}{b} C_{14}^j & \cdot & \cdot \\ -2 C_3^j & \cdot & \cdot \end{pmatrix}$$

where in each cavity  $j$ :

$$\begin{pmatrix} C_3 \\ C_5 \\ C_{10} \\ C_{14} \end{pmatrix} = \sum_{K=1}^3 \begin{pmatrix} z^K \beta_{2K} \Upsilon_{1K} \\ z^K \beta_{2K} (\cos 2bz \cdot \Upsilon_{1K} + \sin 2bz \cdot \Upsilon_{2K}) \\ z^K \beta_{2K} \Upsilon_{2K} \\ z^K \beta_{2K} (\sin 2bz \cdot \Upsilon_{1K} - \cos 2bz \cdot \Upsilon_{2K}) \end{pmatrix},$$

and

$$z^K \Upsilon_{iK} = \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} d\psi \begin{pmatrix} \cos 2b(s + \frac{\pi}{2}) \\ \sin 2b(s + \frac{\pi}{2}) \\ \cos^2 2b(s + \frac{\pi}{2}) \\ \frac{1}{2} \sin 4b(s + \frac{\pi}{2}) \end{pmatrix}_i \begin{pmatrix} 1 \\ s \\ s^2 \end{pmatrix}_K; \quad \begin{matrix} l = 1, \dots, 4 \\ K = 1, 2, 3 \end{matrix}$$

The frequency bias  $\nu_B$  and the second order Doppler correction are introduced by writing:

$$\lambda^* = 2\pi (\nu_B + \Omega V^2).$$

Then integrating  $P_{pq}$  over velocity and the permissible atom trajectories, and carrying out the linear filtering associated with modulation, a null result is obtained when the frequency bias  $\nu_B$  satisfies:

$$0 = \nu_B u_1 + \Omega u_2 + \frac{\delta}{2\pi L} u_3 + \sum_{k=1}^{17} (c_k^1 u_{k+3} + c_k^2 u_{k+21}),$$

where the  $C_k^j$  are the  $\mathcal{R}_2^j(\vec{r})$  expansion coefficients for cavities  $j = (1, 2)$ , and the  $u_j(b, \nu_{MOD})$  are defined in the Appendix.

Calling

$$v_k(b, \nu_{MOD}) \equiv u_{k+1} / u_1, \quad (k = 1, \dots, 17),$$

we obtain for the bias:

$$\nu_B = -\Omega v_1 - \frac{\delta}{2\pi L} v_2 - \left\{ \sum_{k=1}^{17} (c_k^1 v_{k+2} + c_k^2 v_{k+20}) \right\},$$

where we can now identify the terms used in the discussion of bias estimation in Section I:

$$\nu_{DP2} = -\Omega v_1, \quad v_1 = V_D^2,$$

$$V_P = v_2,$$

and  $(-\mathcal{h})$  is the bracketed term.

A computer program has been written which accepts the velocity distributions  $q_n^m(V)$  of Section II, the  $H$ -field coefficients  $\{\varphi_n\}$  of Section III, a set of field level parameters  $\{b\}$ , and a single modulation frequency width  $\nu_{MOD}$ . For each detector location  $m$ , it computes the  $v_k(b, \nu_{MOD})$ , and taking pairs of field coefficient sets, constructs the associated constants  $c_k^j$ . It presents the PV bias  $\mathcal{h}(b)$ , and for pairs  $(b_1, b_2)$ , presents the power shift error multipliers of the coefficients  $(c_k^1, c_k^2)$  as well as the power shift-induced error for that field pair.

## V. APPLICATIONS

The procedures described here have been applied to two systems, NBS-5 and a shorter off-line beam tube (Tube B). However, it must be born in mind that the NBS-5 results are relevant to the true system only if two assumptions hold. First, the ray trace must adequately match the system. This may be checked reasonably well for  $\rho_1(V)$  which is just the detected velocity distribution, but not at all for the other distributions ( $\rho_2, \dots, \rho_{15}$ ) which are much more critically dependent on system and cavity alignment. Second, the simple wave guide model must be adequate to represent the complicated structure of the cavity apertures. For a given structure, however, if all skin depths are increased by a factor  $x$ , then all  $\epsilon_n^j$ , and hence the PV bias  $\hat{h}$ , are increased by the factor  $x$ . Skin depths are expected to be known to (and have a time-dependent change of) only a few percent.

We have considered only three rf-field types. In the first, the skin depth is uniformly  $6.82 \times 10^{-5}$  cm, corresponding to copper. In the second, skin depths are uniformly 10% higher, while in the third, only two adjacent walls are 10% higher, which should represent a worst case for non-uniformity in this simple model.

Figure 2 shows the correct velocity distribution for NBS-5, as determined by measurement and confirmed by Ramsey curve inversion. Also shown are ray trace results for a detector of height 0.2 cm, centered 0.3 cm below the line of centers for  $M=2$ , 0.3 cm above the line of centers for  $M=4$ . All these curves are normalized to unit height. Neither ray trace curve matches the experimental curve well; the  $M=2$  curve has a better center of gravity, while the  $M=4$  curve has the proper location for the maximum. These ray traces were done directly from the beam tube design value, and no effort was made to adjust them to improve the match.

Figure 3 shows results for an off-line geometry with an interaction region length  $L = 0.5$ m. Both detectors,  $M=1$  and  $M=3$ , are located on the opposite side of the line of centers from the emitter, with the  $M=1$  detector further away. The strongest signal is detected at the  $M=3$  location, while the  $M=1$  is very weak, and exhibits "shot noise" of the limited number of rays examined.

In table 1 we list the most important rf-field expansion coefficients  $c_n$  and field derivative at  $\bar{r} = 0$  which they represent for the three rf-field types we have examined. In table 2, we show the corresponding multipliers ( $c_{n+2}^1, c_{n+2}^2$ ) for the two cavity fields at nominal modulation amplitude (equal to resonance half-width) for three values of b bracketing the optimum value. When the field type is assigned to each cavity ( $j = 1, 2$ ), each product  $c_n^1 c_{n+2}^2, c_n^2 c_{n+2}^1$  is a component of the PV bias  $\mathcal{H}(b, \nu_{MOD})$ .  $K = 1$  for the NBS-5 model;  $K = 2$  for the shorter tube (Tube B).  $S = 1$  is when the beam tube geometry is completely symmetric about  $y = 0$ ;  $S = 2$  is the case in which emitter and detector are shifted 0.1 cm on opposite sides of the symmetry axis  $y = 0$ , and show the effect of misalignment. (A similar effect is produced by non-uniform emission or detection in  $y$ ).  $M$  is the particular detector location number (varied in  $z$ ).

Referring to  $c_2(\partial_z)$ , even though  $c_4$  and  $c_{22}^2$  may be quite large, as long as the cavity aperture is centered (in  $z$ ) in the wave guide face and the  $z$ -dimension of the cavity opening (here 0.95 for  $K = 1$ , 0.508 for  $K = 2$ ) is not too large, this term is not important.

Referring to  $C_3(\partial_y)$ , we see that the unavoidable rf-field phase gradient in the propagating direction can be a major source of PV bias for relatively small beam asymmetries in  $y$ , whether due to physical misalignment of components or non-uniform beam intensity. The values ( $c_{21}^1, c_{23}^2$ ) for  $K = (1, 2)$  appear to be roughly proportional to the slope  $y_x$  of the misalignment assumed. This error can be reduced by narrowing the cavity aperture widths (here 1.27 cm) at a proportionate loss in detected signal.

The field curvature terms ( $c_4, c_6$ ) are in the  $1 \times 10^{-4}$  range for  $K = 1$ , but as high as  $2 \times 10^{-3}$  for the shorter tube. These terms should drop quadratically with decreasing aperture dimensions.

The terms due to  $C_7$  and  $C_{13}$  which modify the transition probability by introducing an apparent frequency variation in the cavity have very substantial coefficients, ( $c_{71}^1, c_{76}^2$ ) and ( $c_{27}^1, c_{32}^2$ ), for the two cavities, but are fortunately of such amplitudes and signs as to cancel identically when the cavities and their fields are identical. For the variations in cavity fields considered here, these terms are less than  $1 \times 10^{-4}$ , but  $x$ -asymmetries in cavity construction could perhaps produce large biases because of these terms. It is worth

noting, however, that the  $(\sigma_9, \sigma_{16}, \sigma_{33})$  depend only on  $\rho_1(V)$  the directly determinable velocity distribution; in a cavity design in which these biases were dominant, power shift measurements could be used to estimate  $(C_4, C_6)$ , and some correction applied.

Tables 3 through 10 are final results for these cases. Over a set of relevant power parameter values  $\{b\}$ ,  $V_D$  and  $V_P(b, v_{MOD})$  are shown; these numbers are independent of which rf-field types are used. For the cavity 1/cavity 2 rf-field type designations shown, the PV bias  $h$  is given, and for power parameter pairs,  $(b^+, b^-)$ , the resulting PV power-shift bias

$$\nu_B^{PV} \equiv \alpha \cdot h(b^+, v_{MOD}) + (1 - \alpha) h(b^-, v_{MOD}),$$

due only to distribution of phase over cavity-apertures, is given in Hertz. (For cesium, a  $1.0 \times 10^{-3}$  Hz bias is approximately 1 part in  $10^{13}$ ). These numbers can be considered to represent the uncertainty values which are encountered under the conditions stated.

Let us now consider a few specific examples. Consider the case of NBS-5 ( $K=1$ ) operated between  $b^- = 20000 \text{ s}^{-1}$  (near optimum for  $M = 4$ ) and  $b^+ = 2 b^-$  (6 dB higher power). With perfect symmetry in  $y$  ( $S = 1$ ; tables 3 and 4), we find PV power shift biases of  $0.6 \times 10^{-3}$  Hz for  $M = 2$  and  $0.4 \times 10^{-3}$  Hz for  $M = 4$ . The corresponding PV beam reversal biases,  $1/2(h^F + h^R)$ , at  $b^-$  are  $0.2 \times 10^{-3}$  Hz for  $M = 2$  and  $0.0 \times 10^{-3}$  Hz for  $M = 4$ .

For the asymmetric case ( $S = 2$ ; tables 5 and 6), the PV power shift biases are  $1.1 \times 10^{-3}$  Hz for  $M = 2$ , and  $1.0 \times 10^{-3}$  Hz for  $M = 4$ . The corresponding PV beam reversal biases at  $b^-$  are  $0.8 \times 10^{-3}$  Hz for  $M = 2$  and  $0.6 \times 10^{-3}$  Hz for  $M = 4$ .

We should remember that these biases do not include the DS2 bias (which can be very accurately calculated), and must be considered to be uncorrectable uncertainties at this time.

Consider now the shorter Tube B ( $K = 2$ ) operated between  $b^- = 14000 \text{ s}^{-1}$  (near optimum for  $M = 3$ ) and  $b^+ = 23000 \text{ s}^{-1}$ , (4.3 db higher power). With perfect symmetry in  $y$  ( $S = 1$ : Tables 7 and 8), we find PV power shift biases of  $25. \times 10^{-3} \text{ Hz}$  for  $M = 1$  and  $2.5 \times 10^{-3} \text{ Hz}$  for  $M = 3$ , a very striking difference. The corresponding PV beam reversal-biases at  $b^-$  are  $0.8 \times 10^{-3} \text{ Hz}$  for  $M = 1$  and  $0.5 \times 10^{-3} \text{ Hz}$  for  $M = 3$ .

For the asymmetric case ( $S = 2$ ; tables 9 and 10), the PV power shift biases are  $17 \times 10^{-3} \text{ Hz}$  for  $M = 1$  and  $3.0 \times 10^{-3} \text{ Hz}$  for  $M = 3$ . The corresponding PV beam reversal biases are  $5.8 \times 10^{-3} \text{ Hz}$  for  $M = 1$  and  $2.8 \times 10^{-3} \text{ Hz}$  for  $M = 3$ .

It is interesting to note that the power shift results are not always as bad relative to the beam reversal results as might have been expected from the values of the amplification factors  $\mathcal{A}$  which are easily computed from the  $V_p(b)$  values in the respective cases: for NBS-5 ( $K=1$ ),  $\mathcal{A} = 5$  for  $M = 2$ , and  $\mathcal{A} = 9$  for  $M = 4$ , while for Tube B ( $K = 2$ ),  $\mathcal{A} = 13$  for  $M = 1$  and  $M = 3$ . This is due to the high degree of correlation of PV biases at various power levels for a fixed configuration.

## VI. CONCLUSIONS

Under rather severe assumptions on cavity symmetry and ray-tracing validity, estimates of the PV bias uncertainty (due to distributed field phase and amplitude in the cavities) have been obtained for power shift measurements on NBS-5 and a shorter beam tube, and tabulated in tables 3 through 10. With proper care in alignment and emitter fabrication, and optimum

choice of power levels, the PV bias uncertainty in power shift experiments with NBS-5 should be less than  $1 \times 10^{-13} \text{ v}_{\text{ces}}$ .

It is important to note from these tables that the apparent advantage of beam reversal techniques may be substantially negated because of the fact that, in beam reversal, we must average two uncorrelated PV biases  $k^1$  and  $k^2$ , while for power shift measurements, even though the amplification factor  $\Delta$  may exceed 3, the biases  $k^1$  and  $k^2$  are correlated, and the resulting bias uncertainty may even be less than the average  $(k^1 + k^2)/2$ . This fact confirms the view that a combination of both methods (plus the use of pulse techniques) is the best approach toward a comprehensive accuracy evaluation.

VII. APPENDIX

With  $z = 2\pi L v_{\text{MOD}}/V$ , we define the linear servo filter:

$$\mathcal{L}_f \begin{bmatrix} \sin \lambda T \\ \lambda T \cos \lambda T \\ \lambda T \end{bmatrix} = \begin{bmatrix} J_1(z) \\ J_2(z) \\ J_3(z) \end{bmatrix},$$

over  $\lambda(t) = \lambda_{\text{MOD}} p(t)$ . For square-wave modulation:

$$\mathcal{L}_i [F(\lambda T)] = F(z); \quad \left\{ \begin{array}{l} J_1(z) = \sin z \\ J_2(z) = z \cos z \\ J_3(z) = z \end{array} \right\}.$$

For sinusoidal modulation,  $\frac{2\pi}{\omega_M}$

$$\mathcal{L}_i [F(\lambda T)] = \frac{\omega_M}{2\pi} \int_0^{\frac{2\pi}{\omega_M}} dt \sin \omega_M t F(\lambda_{\text{MOD}} \sin \omega_M t),$$

$$\left\{ \begin{array}{l} J_1(z) = J_1(z) \\ J_2(z) = z J_0(z) - J_1(z) \\ J_3(z) = \frac{1}{2} z \end{array} \right\},$$

where  $J_0, J_1$  are Bessel functions.

With  $x = b\tau$ , we define

$$t_{1K} = \frac{L}{L} J_3(z) \frac{\cos 2x}{x} \left[ Y_{1K} (\cos 2x - 1) + Y_{2K} \sin 2x \right]$$

$$t_{2K} = -2 J_1(z) \sin 2x Y_{1K} + \frac{L}{L} J_2(z) \cdot$$

$$\cdot \left[ \frac{\sin 2x}{x} Y_{2K} + \frac{1 - \cos 2x}{x} (\cos 2x Y_{1K} + \sin 2x Y_{2K}) \right]$$

Then we define the  $c_j(b, v_{\text{MOD}}, V)$ :

$$c_1 = \sin^2 2x J_1 + \frac{L}{L} \frac{\sin 2x}{x} (1 - \cos 2x) (J_1 + J_2),$$

$$c_2 = \sin^2 2x J_1 + \frac{L}{L} \frac{\sin 2x}{x} (1 - \cos 2x) J_2,$$

$$c_3 = -c_0 = t_{21} x / 2\pi L,$$

$$a_4 = a_7 = (t_{12} + t_{22}) \times / 2\pi L,$$

$$a_5 = -a_8 = t_{23} \times / 2\pi L.$$

where we have dropped the terms of order  $(l/L)^2$ .

Then designating:

$$\langle F(V) \rangle \equiv \int_0^\infty dV F(V),$$

the  $u_i(b, v_{\text{MOD}})$  are as follows:

$$u_1 = \langle a_1 \rho_1 / v \rangle, \quad u_2 = \langle a_2 \rho_1 / v \rangle, \quad u_3 = \langle a_2 \rho_1 \rangle$$

$$u_4 = \langle a_3 \rho_1 \rangle, \quad u_5 = \langle a_3 \rho_3 + \frac{l}{L} a_4 (\rho_5 - \rho_3) \rangle$$

$$u_6 = \langle a_3 \rho_2 + \frac{l}{L} a_4 (\rho_4 - \rho_2) \rangle$$

$$u_7 = \langle a_3 \rho_5 + 2 \frac{l}{L} a_4 (\rho_{15} - \rho_5) \rangle$$

$$u_8 = \langle a_3 \rho_7 + \frac{l}{L} a_4 (\rho_{14} + \rho_{13} - 2\rho_7) \rangle$$

$$u_9 = \langle a_3 \rho_6 + \frac{l}{L} a_4 (\rho_{12} - \rho_6) \rangle$$

$$u_{10} = l \langle a_4 \rho_1 \rangle$$

$$u_{11} = l \langle a_4 \rho_3 + \frac{l}{L} a_5 (\rho_5 - \rho_3) \rangle$$

$$u_{12} = l \langle a_4 \rho_2 + \frac{l}{L} a_5 (\rho_4 - \rho_2) \rangle$$

$$u_{13} = l \langle a_4 \rho_5 + 2 \frac{l}{L} a_5 (\rho_{15} - \rho_5) \rangle$$

$$u_{14} = l \langle a_4 \rho_7 + \frac{l}{L} a_5 (\rho_{14} + \rho_{13} - 2\rho_7) \rangle$$

$$u_{15} = l \langle a_4 \rho_6 + 2 \frac{l}{L} a_5 (\rho_{12} - \rho_6) \rangle$$

$$u_{16} = l^2 \langle a_5 \rho_1 \rangle, \quad u_{17} = l^2 \langle a_5 \rho_3 \rangle$$

$$u_{18} = l^2 \langle a_5 \rho_2 \rangle, \quad u_{19} = l^2 \langle a_5 \rho_5 \rangle$$

$$u_{20} = l^2 \langle a_5 \rho_7 \rangle, \quad u_{21} = l^2 \langle a_5 \rho_6 \rangle$$

$$u_{22} = \langle a_6 \rho_1 \rangle, \quad u_{23} = \langle a_6 \rho_5 + \frac{l}{L} a_7 (\rho_5 - \rho_3) \rangle$$

$$u_{24} = \langle a_6 \rho_4 + \frac{l}{L} a_7 (\rho_4 - \rho_2) \rangle$$

$$u_{25} = \langle a_6 \rho_{11} + 2 \frac{l}{L} a_7 (\rho_{11} - \rho_{15}) \rangle$$

$$\begin{aligned}
u_{26} &= \langle a_6 p_{10} + \frac{l}{L} a_7 (2p_{10} - p_{13} - p_{14}) \rangle \\
u_{27} &= \langle a_6 p_9 + 2 \frac{l}{L} a_7 (p_9 - p_{12}) \rangle \\
u_{28} &= l \langle a_7 p_1 \rangle \\
u_{29} &= l \langle a_7 p_5 + \frac{l}{L} a_8 (p_5 - p_3) \rangle \\
u_{30} &= l \langle a_7 p_4 + \frac{l}{L} a_8 (p_4 - p_2) \rangle \\
u_{31} &= l \langle a_7 p_{11} + 2 \frac{l}{L} a_8 (p_{11} - p_{15}) \rangle \\
u_{32} &= l \langle a_7 p_{10} + \frac{l}{L} a_8 (2p_{10} - p_{13} - p_{14}) \rangle \\
u_{33} &= l \langle a_7 p_9 + 2 \frac{l}{L} a_8 (p_9 - p_{12}) \rangle \\
u_{34} &= l^2 \langle a_8 p_1 \rangle, & u_{35} &= l^2 \langle a_8 p_5 \rangle \\
u_{36} &= l^2 \langle a_8 p_4 \rangle, & u_{37} &= l^2 \langle a_8 p_{11} \rangle \\
u_{38} &= l^2 \langle a_8 p_{10} \rangle, & u_{39} &= l^2 \langle a_8 p_9 \rangle
\end{aligned}$$

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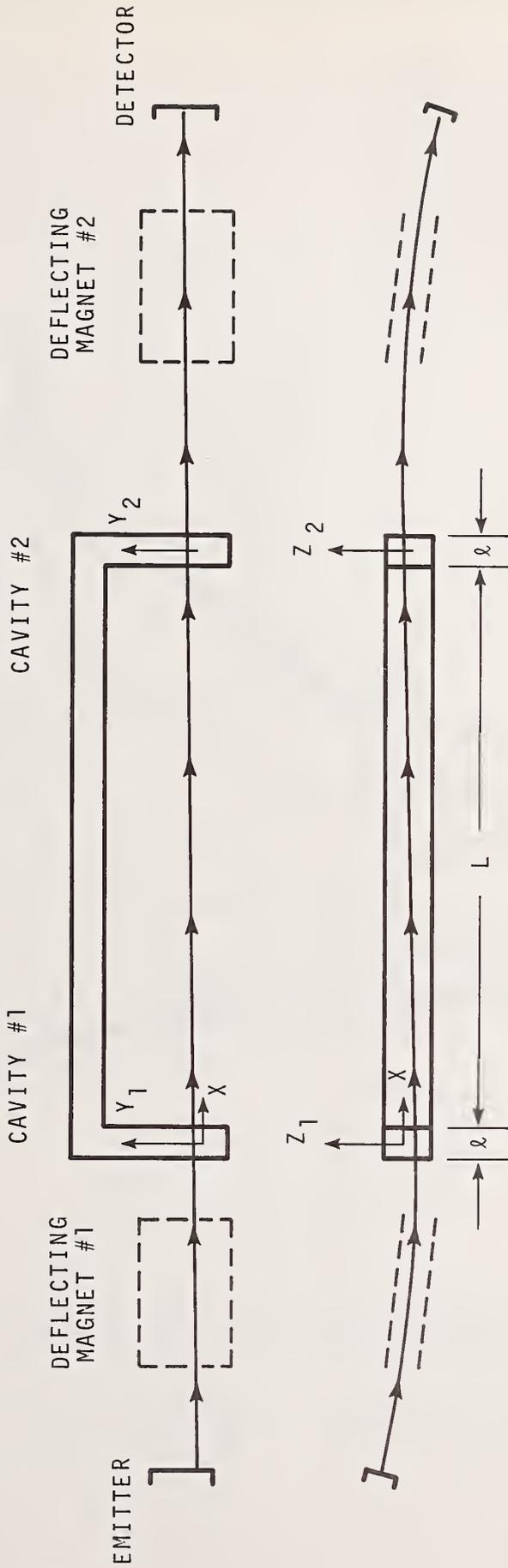


FIGURE 1: Schematic Diagram of Beam Tube Geometry

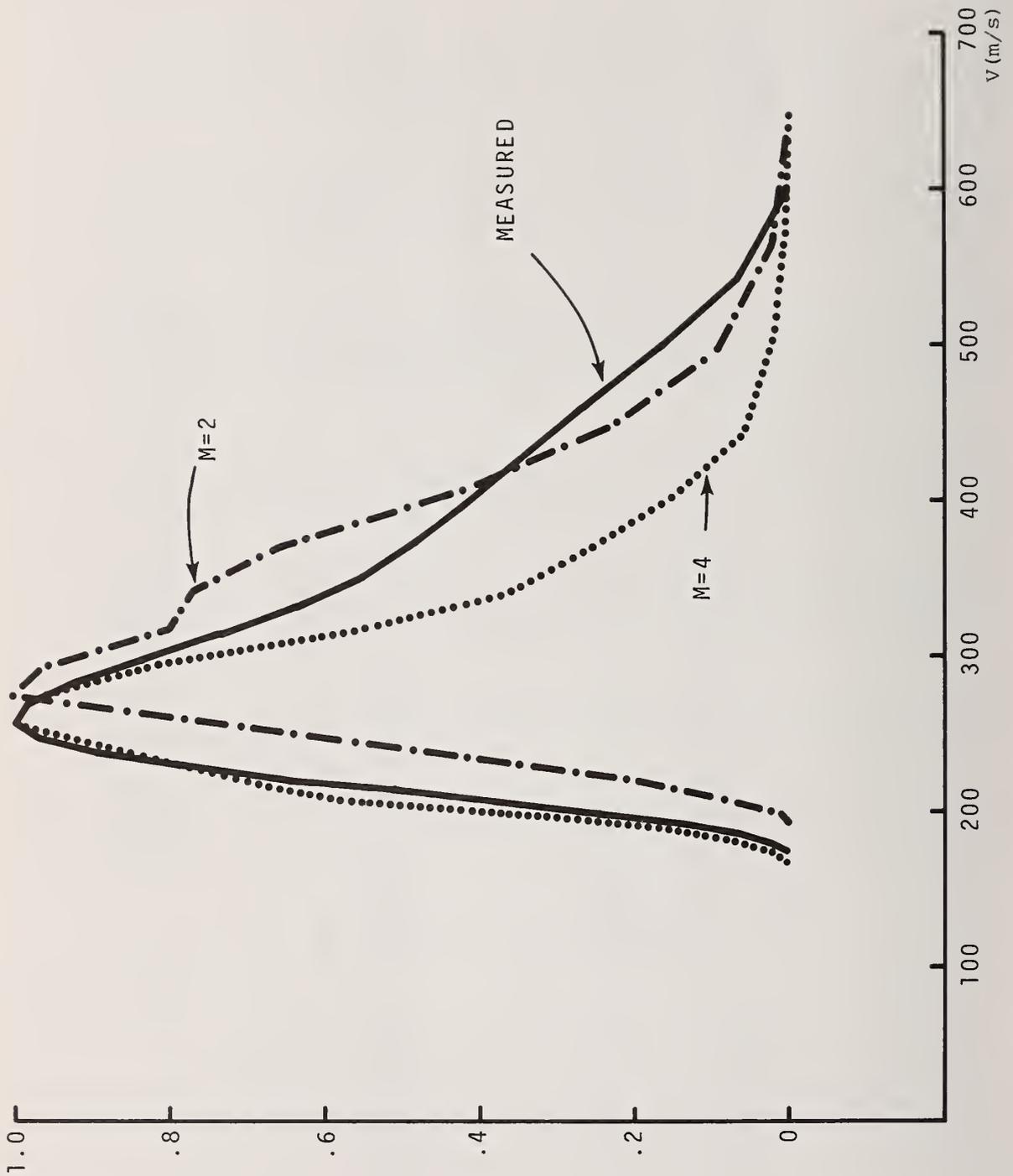


FIGURE 2: Measured and Simulated Velocity Distributions (normalized) for NBS-5.

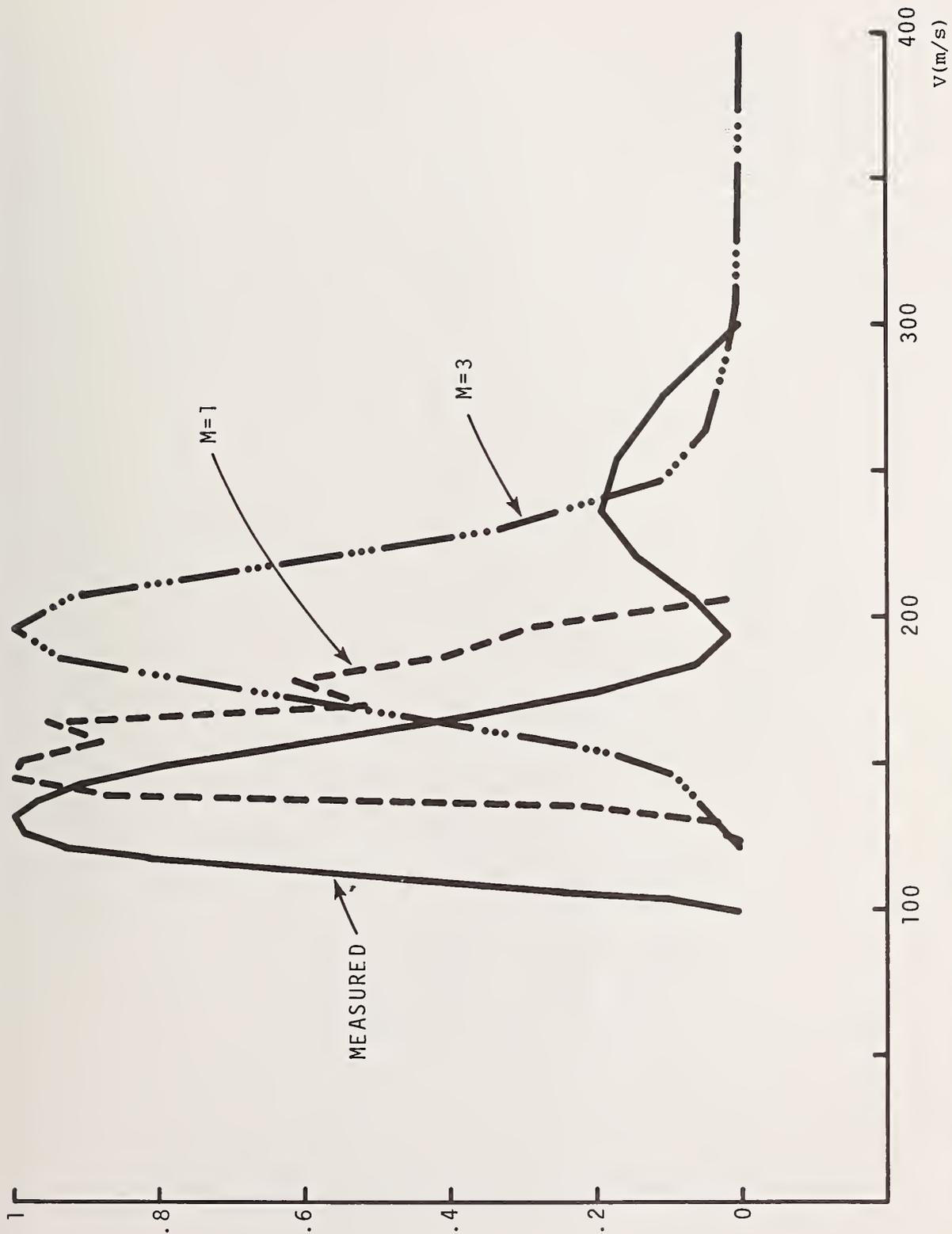


FIGURE 3: Measured and Simulated Velocity Distributions (normalized) for Tube B.

TABLE 1

Rf-Field Coefficients

Coefficient	$C_2$	$C_3$	$C_4$	$C_6$	$C_7$	$C_{13}$
Derivative	$\delta_z$	$\delta_y$	$\delta_{zz}$	$\delta_{yy}$	$\delta_x$	$\delta_{xx}$
1	0	-.387	.069	-.155	0	.124
2	0	-.426	.076	-.170	0	.136
3	-.003	-.404	.072	-.163	.006	.130

1. Principal  $h_2^j$  ( $r$ ) Field Expansion Coefficients by r-f Field Type. (see pp. 11, 17).

TABLE 2

K	S	M	$(\delta_z)$ $\sigma_4$	$(\delta_y)$ $\sigma_5$	$(\delta_{zz})$ $\sigma_6$	$(\delta_{yy})$ $\sigma_8$	$(\delta_x)$ $\sigma_9$	$(\delta_{xx})$ $\sigma_{16}$	$(\delta_z)$ $\sigma_{22}$	$(\delta_y)$ $\sigma_{23}$	$(\delta_{zz})$ $\sigma_{24}$	$(\delta_{yy})$ $\sigma_{26}$	$(\delta_x)$ $\sigma_{27}$	$(\delta_{xx})$ $\sigma_{33}$	b
1	1	2	-0.59	0	0.64	1.35	-0.63	1.02	1.86	0	-0.95	-1.45	-0.63	-1.02	15000
			-0.18	0	0.54	1.54	-2.10	1.01	2.50	0	-1.05	-1.42	-2.10	-1.01	25000
			0.49	0	0.38	1.88	-5.15	1.04	3.68	0	-1.23	-1.40	-5.15	-1.04	35000
		4	-0.32	0	0.80	1.07	-0.74	0.89	-0.99	0	-0.95	-1.13	-0.74	-0.89	15000
			-0.77	0	0.73	1.23	-2.63	0.88	-1.73	0	-0.95	-1.29	-2.63	-0.88	25000
			-1.15	0	0.58	1.54	-5.56	0.90	-2.79	0	-1.03	-1.53	-5.56	-0.90	35000
	2	2	-0.67	-1.09	0.65	1.34	-0.62	1.02	1.88	-0.96	-0.95	-1.47	-0.62	-1.02	15000
			-0.28	-1.29	0.55	1.52	-2.09	1.01	2.54	-0.77	-1.06	-1.44	-2.09	-1.01	25000
			0.38	-1.67	0.39	1.86	-5.16	1.04	3.78	-0.46	-1.26	-1.42	-5.16	-1.04	35000
		4	-0.28	-0.61	0.79	1.03	-0.74	0.89	-0.94	-0.69	-0.94	-1.13	-0.74	-0.89	15000
			-0.70	-0.77	0.71	1.17	-2.62	0.88	-1.66	-0.87	-0.94	-1.31	-2.62	-0.88	25000
			-1.03	-1.44	0.55	1.46	-5.49	0.91	-2.66	-1.17	-1.01	-1.58	5.49	-0.91	35000
2	1	1	6.59	0	1.19	2.96	-2.36	3.83	9.24	0	-1.90	-11.77	-2.36	-3.83	8000
			6.60	0	1.20	2.74	-9.22	3.58	9.76	0	-2.08	-12.00	-9.22	-3.58	14000
			6.63	0	1.21	2.22	-31.01	3.26	11.29	0	-2.61	-12.64	-31.01	-3.26	20000
		3	3.27	0	1.37	3.27	-2.00	4.54	6.82	0	-1.09	-5.92	-2.00	-4.54	8000
			2.95	0	1.44	3.19	-7.07	4.40	7.53	0	-1.24	-6.10	-7.07	-4.40	14000
			2.48	0	1.65	3.04	-18.86	4.22	8.91	0	-1.55	-6.50	-18.86	-4.22	20000
		1	5.99	-1.34	1.07	3.61	-2.36	3.84	9.34	-9.34	-1.94	-11.55	-2.36	-3.84	8000
			6.01	-1.20	1.08	3.39	-9.18	3.59	9.87	-10.28	-2.12	-11.78	-9.18	-3.59	14000
			6.18	-0.77	1.11	2.79	-30.67	3.29	11.41	-13.04	-2.66	-12.44	-30.67	-3.29	20000
		3	3.14	-1.24	1.37	3.13	-2.00	4.54	6.85	-4.40	-1.11	-6.00	-2.00	-4.54	8000
			2.77	-1.20	1.08	3.08	-7.08	4.39	7.57	-4.54	-1.26	-6.21	-7.08	-4.39	14000
			2.19	-1.12	1.11	2.98	-18.90	4.20	8.93	-4.83	-1.57	-6.62	-18.90	-4.20	20000

2. Principal PV-bias Multipliers  $\mu_n(b)$  for Each Cavity at Three Power Levels by Beam Tube Type (K = 1,2), Y-Symmetry Condition (S = 1,2), and Detector Location (M). (See pp. 17).







TABLE 6

$b^- \times 10^{-4} \text{ (s}^{-1}\text{)}$	1.0	1.5	2.0	2.5	3.0	3.5	4.0	4.5
$V_D \text{ (cm/s)}$	26320	26802	27584	28804	30624	32566	31335	27005
$V_P \text{ (cm/s)}$	25806	26286	27023	28216	30014	31825	30085	25875
$h \text{ (mHz)}$	0.48	0.51	0.56	0.64	0.77	0.88	0.74	0.45
$b^+ \times 10^{-4} \text{ (s}^{-1}\text{)}$	PV Power Shift Bias (mHz)							
1.5	1.32							
2.0	1.32	1.32						
2.5	1.31	1.31	1.30					
3.0	1.29	1.29	1.28	1.26				
3.5	1.25	1.24	1.22	1.20	1.12			
4.0	1.10	1.07	1.01	1.00	-11.8	1.67		
4.5	-11.3	3.54	2.10	1.71	1.53	1.42	1.34	

6.  $V_D$ ,  $V_P$ , PV-bias  $h$ , and Power Shift Biases for NBS-5 ( $S = 2$ ,  $M = 4$ ) for Cavity Fields ( $l = 1$ );  $b_{\text{opt}} = 20410 \text{ s}^{-1}$

TABLE 7

$b^- \times 10^{-4} (s^{-1})$	0.5	0.8	1.1	1.4	1.7	2.0	2.3	2.6
$V_D$ (cm/s)	15719	15787	15901	16085	16396	16968	17749	15394
$V_P$ (cm/s)	15334	15382	15461	15586	15796	16188	16804	15502
$h$ (mHz)	0.58	0.62	0.70	0.83	1.09	1.65	2.83	1.11
$b^+ \times 10^{-4} (s^{-1})$	PV Power Shift Bias (mHz)							
0.8	13.3							
1.1	13.9	14.3						
1.4	14.8	15.2	15.8					
1.7	16.3	16.6	17.2	18.0				
2.0	18.5	18.9	19.4	20.2	21.4			
2.3	22.9	23.2	23.8	24.7	26.2	29.4		
2.6	48.4	62.6	156.	-52.5	-2.54	10.9	19.3	

7.  $V_D$ ,  $V_P$ , PV-bias  $\mathcal{K}$ , and Power Shift Biases for Tube B ( $S = 1$ ,  $M = 1$ ) for Cavity Fields  $(1 - 1) b_{opt}^{-1} = 12430 s^{-1}$ .

TABLE 8

$b^- \times 10^{-4} (s^{-1})$	0.5	0.8	1.1	1.4	1.7	2.0	2.3	2.6
$V_D$ (cm/s)	18491	18619	18816	19101	19499	20050	20764	21285
$V_P$ (cm/s)	17946	18057	18230	18476	18816	19278	19856	20199
$h$ (mHz)	0.49	0.48	0.46	0.44	0.40	0.35	0.28	0.25
PV Power Shift Bias (mHz)								
$b^+ \times 10^{-4} (s^{-1})$								
0.8	-2.15							
1.1	-2.18	-2.19						
1.4	-2.22	-2.23	-2.26					
1.7	-2.27	-2.29	-2.32	-2.36				
2.0	-2.34	-2.35	-2.38	-2.42	-2.47			
2.3	-2.40	-2.42	-2.45	-2.48	-2.53	-2.57		
2.6	-2.37	-2.38	-2.40	-2.42	-2.44	-2.42	-2.15	

8.  $V_D$ ,  $V_P$ , PV-bias  $\mathcal{A}$ , and Power Shift Biases for NBS-5 ( $S = 1$ ,  $M = 3$ ) for Cavity Fields (3 - 2);  $b_{opt} = 14840 s^{-1}$ .

TABLE 9

$b^- \times 10^{-4} (s^{-1})$	0.5	0.8	1.1	1.4	1.7	2.0	2.3	2.6
$V_D$ (cm/s)	15757	15827	15943	16132	16449	17030	17807	15454
$V_P$ (cm/s)	15369	15418	15500	15630	15848	16254	16874	15532
$h$ (mHz)	5.28	5.37	5.51	5.74	6.12	6.80	7.53	4.37
$b^+ \times 10^{-4} (s^{-1})$	PV Power Shift Bias (mHz)							
0.8	21.4							
1.1	21.5	21.5						
1.4	21.6	21.6	21.7					
1.7	21.6	21.6	21.6	21.6				
2.0	21.1	21.1	21.0	20.9	20.5			
2.3	17/7	17.5	17.3	16.8	15.7	12.3		
2.6	-91.7	-140.	-549.	214.	81.8	48.0	32.2	

9.  $V_D$ ,  $V_P$ , PV-bias  $\mathcal{A}$ , and Power Shift Biases for NBS-5 ( $S = 2$ ,  $M = 1$ ) for Cavity Fields ( $l = 1$ );  $b_{opt} = 12460 s^{-1}$

TABLE 10

$b^- \times 10^{-4} \text{ (s}^{-1}\text{)}$	0.5	0.8	1.1	1.4	1.7	2.0	2.3	2.6
$V_D \text{ (cm/s)}$	18468	18594	18788	19067	19453	19980	20649	21083
$V_P \text{ (cm/s)}$	17928	18039	18209	18450	18781	19220	19758	20016
$h \text{ (mHz)}$	2.73	2.75	2.80	2.86	2.95	3.08	3.27	3.45
$b^+ \times 10^{-4} \text{ (s}^{-1}\text{)}$								
0.8	1.55							
1.1	1.63	1.68						
1.4	1.74	1.79	1.88					
1.7	1.92	1.97	2.06	2.20				
2.0	2.19	2.25	2.35	2.51	2.75			
2.3	2.64	2.71	2.84	3.03	3.33	3.83		
2.6	3.50	3.62	3.83	4.16	4.73	5.90	10.4	

PV Power Shift Bias (mHz)

10.  $V_D, V_P, V_{\text{bias}}, \mathcal{A}$ , and Power Shift Biases for NBS-5 ( $S = 2, M = 3$ ) for Cavity Fields (1 - 3);  $b_{\text{opt}} = 14810 \text{ s}^{-1}$

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4. TITLE AND SUBTITLE  MOLECULAR BEAM TUBE FREQUENCY BIASES DUE TO DISTRIBUTED CAVITY PHASE VARIATIONS.		5. Publication Date January 1975	6. Performing Organization Code
7. AUTHOR(S) STEPHEN JARVIS, JR.	8. Performing Organ. Report No.		
9. PERFORMING ORGANIZATION NAME AND ADDRESS  NATIONAL BUREAU OF STANDARDS, Boulder Labs. DEPARTMENT OF COMMERCE WASHINGTON, D.C. 20234		10. Project/Task/Work Unit No. 2770246	11. Contract/Grant No.
12. Sponsoring Organization Name and Complete Address (Street, City, State, ZIP)		13. Type of Report & Period Covered	14. Sponsoring Agency Code
15. SUPPLEMENTARY NOTES			
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17. KEY WORDS (six to twelve entries; alphabetical order; capitalize only the first letter of the first key word unless a proper name; separated by semicolons)  Accuracy evaluation; Atomic beam frequency standards; Cavity phase shift			
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